

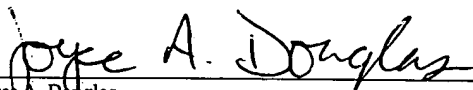
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Roy H. Mauger et al.  
Serial No.: 09/156,541  
Filed: September 17, 1998  
TITLE: COMMUNICATION SYSTEM  
ARCHITECTURE AND OPERATING  
METHODS THEREOF

Group No.: 2731  
Examiner: Unknown

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

MAILING CERTIFICATE UNDER 37 C.F.R. §1.8  
I hereby certify that this correspondence is being deposited with  
the U.S. Postal Service as First Class Mail in an envelope  
addressed to: Assistant Commissioner for Patents, Washington,  
D.C. 20231 on November 10, 1998.

  
Joyce A. Douglas

COMPLETION OF FILING REQUIREMENTS  
—NONPROVISIONAL APPLICATION

I. This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed October 9, 1998.

A copy of the Notice to File Missing Parts of Application—Filing Date Granted (Form PTO-1533) is enclosed.

DECLARATION OR OATH

II. No declaration or oath was filed. Enclosed is the original declaration or oath for this application.

The Declaration is signed by the inventors: Roy Harold Mauger, Julian Frank Barry Cable and Clive Colin Hayball

COMPLETION FEES

III. Surcharge Fee

Late filing of original declaration or oath  
(37 C.F.R. 1.16(e)) \$130.00  
TOTAL COMPLETION OF FEES \$130.00

Serial No.: 09/156,541  
November 10, 1998

IV. Applicant believes that no Extension of Time is required. However, this conditional petition is being made to provide for the possibility that Applicant has inadvertently overlooked the need for a petition and fee for Extension of Time.

#### TOTAL FEE DUE

V. The total fee due is:

Completion fees	<u>\$130.00</u>
<b>Total Fee Due</b>	<b>\$130.00</b>

#### PAYMENT OF FEES

VI. Charge Account No. 50-0210 in the amount of \$130.00.

A duplicate of this request is attached.

Please charge Account No. 50-0210 for any fees that may be due by this paper.

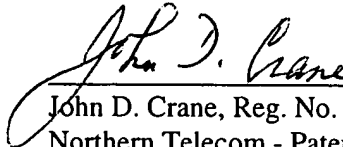
#### AUTHORIZATION TO CHARGE ADDITIONAL FEES

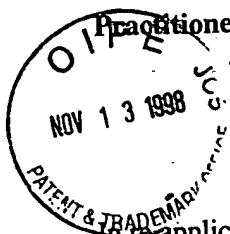
VII. The Commissioner is hereby authorized to charge the following additional fees that may be required by this paper and during the pendency of this application to Account No. 50-0210.

Date: Nov. 10, 1998

Tel. No.: (972) 685-8442

Customer No.: 021498

  
\_\_\_\_\_  
John D. Crane, Reg. No. 25,231  
Northern Telecom - Patent Department  
P.O. Box 832130  
Richardson, TX 75083-2130



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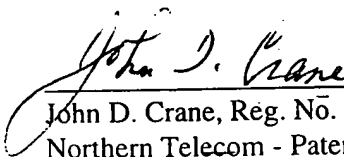
**AUTHORIZATION TO CHARGE ADDITIONAL FEES**

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UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/156,541 09/17/98 MAUGER

R ID0835

021498 0242/1009  
NORTHERN TELECOM - PATENT DEPARTMENT  
P O BOX 832130  
RICHARDSON TX 75083-2130

NOT ASSIGNED

DATE MAILED:

10/09/98

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of \$65.00 for a small entity in compliance with 37 CFR 1.27, or \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a  
☐ small entity (statement filed) ☒ non-small entity is \$130.00.

☐ 1. The statutory basic filing fee is:

- ☐ missing.
- ☐ insufficient.

Applicant must submit \$\_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. Additional claim fees of \$\_\_\_\_\_, including any multiple dependent claim fees, are required.

\$\_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$\_\_\_\_\_ for \_\_\_\_\_ dependent claims over 20.

\$\_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unexecuted.
- ☐ does not cover the newly submitted items.
- ☐ does not identify the application to which it applies.
- ☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:  
11/18/1998 00000000 50210 0156541  
01 FC:105 150.00

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.
- ☐ 8. The application does not comply with the Sequence Rules.  
See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."
- ☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center